

Register of Compliance Obligations (Legal & Other Requirements)

Compliance Obligations										Evaluation of Compliance		
Type	Compliance Obligation	Purpose / Impact	Link to Guidance	Compliance Rating	Applicable?	Typical Application (DELETE THIS COLUMN [E] FROM CLIENT REGISTERS)	Related ISO/ES Standard (DELETE THIS COLUMN [F] FROM CLIENT REGISTERS)	Evidence normally required to demonstrate compliance	Evidence Seen During Compliance Assessment	Date of Last Compliance Assessment		
Accident Reporting	The Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 2013	Requires employers to report accidents that incur over 7 days absence for employees and to report dangerous occurrences and stated diseases as listed by the HSE. COVID-19 Update: Employers should make a report under RIDDOR when one of the following circumstances applies: • an accident or incident at work has, or could have, led to the release or escape of coronavirus (SARS-CoV-2). This must be reported as a dangerous occurrence • a person at work (a worker) has been diagnosed as having COVID-19 attributed to an occupational exposure to coronavirus. This must be reported as a case of disease • a worker dies as a result of occupational exposure to coronavirus. This must be reported as a work-related death due to exposure to a biological agent	HSE - RIDDOR	A	YES	All organisations	ISO45001	Any RIDDOR reportable incidents, including diseases?				
Chemicals	Control of Asbestos Regulations 2012	The Regulations prohibit the importation, supply and use of all forms of asbestos. They continue the ban introduced for blue and brown asbestos in 1985 and for white asbestos in 1999.	http://www.hse.gov.uk/asbestos/guip.htm	D	YES	landlords and building owners, construction industry, interior fit out companies	ISO14001 ISO45001	Check asbestos surveys and inspection reports and that any asbestos contained within the building is in good condition				
Chemicals	The Control of Asbestos in the Air Regulations 1990	The legislation requires that maintenance work where asbestos could be released is controlled to ensure no release of fibres into the air.		D	NA	landlords and building owners, construction industry, interior fit out companies	ISO14001 ISO45001	Check that any refurbishment works carried out does not release asbestos particulates causing ill health.				
Chemicals	The REACH Enforcement Regulations 2008	REACH has several aims: - To provide a high level of protection of human health and the environment from the use of chemicals. - To make the people who place chemicals on the market (manufacturers and importers responsible for understanding and managing the risks associated with their use.) - To allow the free movement of substances on the EU market. - To enhance innovation in and the competitiveness of the EU chemicals industry. - To promote the use of alternative methods for the assessment of the hazardous properties of substances e.g. quantitative structure-activity relationships (QSAR) and read across.	HSE - REACH	D	NA	All organisations	ISO14001 ISO45001	Check for arrangements for storage and movement of dangerous substances				
Chemicals	Control of Substances Hazardous to Health (amendment) Regulations 2004	Requires employers to assess the risks of, prevent or control exposure to hazardous substances and monitor employees exposure. Also places duties on employers concerning their own protection from such exposure	HSE - COSHH	A	YES	All organisations	ISO14001 ISO45001	Check MSDS and COSHH assessments for hazardous substances held				
Chemicals	The Waste Batteries and Accumulators (Amendment) Regulations 2015	Establishes a legal framework and schemes for collecting, treating and recycling portable, industrial and vehicle batteries. Applies to all types of batteries except when used for military and space equipment.	https://www.gov.uk/guide/nps/regulations-batteries-and-waste-batteries	D	SUPP	All organisations	ISO14001	Check how spent batteries (sealed AA type or vehicle batteries etc..) are disposed of				
Consultation & Participation	The Health and Safety (Consultation with Employees) Regulations 1996	Requires non unionised businesses to consult with employees on matters affecting their health and safety, including changes to working practices and risk involvement in risk assessments and improvements in health and safety. A representative should be appointed to represent workers when raising any matters relating to health and safety	HSE - Consulting Workers on H&S	B	YES	All organisations (Non unionised)	ISO45001	Check arrangements for consultation with employees and their participation in health and safety issues				
Display Screen Equipment	Health and Safety (Display Screen) Regulations 1992	Requires employers to carry out risk assessments for employees using display screen equipment either at the office or home works	HSE - DSE	A	YES	All organisations	ISO45001	Check work station users have completed a DSE risk assessment and that any findings have been actioned				
Electricity	Electricity at Work Regulations 1989	Requires the checking and certification of fixed electrical installations periodically by a qualified electrician. Portable equipment is not covered by this law, but should be checked periodically for its continued suitability to ensure it does not pose a danger to users.	HSE - Electricity	A	YES	All organisations	ISO45001	Check for fixed electrical testing and frequency of PAT has been established and maintained and electrical isolation procedures adhered to				
Electricity	The Electrical Equipment (Safety) Regulations 1994	The legislation requires that portable electronic equipment is tested for safety (electrical insulation) to minimise the risk of fire or electric shock	HSE - HSG281	A	YES	All organisations	ISO45001	Check that frequency of PAT has been established and maintained				
Fire Safety	Regulatory Reform (Fire Safety) Order 2005	Requires a fire risk assessment to be carried out for the premises, leading to a fire strategy should a fire occur. Where fire alarm systems and extinguishers are installed they should be periodically checked and tested and emergency procedures tested.	Communities.gov - Fire	A	YES	All organisations	ISO45001	Check for fire risk assessment, arrangements for testing fire alarms, planned evacuations and fire extinguishers, and Fire wardens are in place.				
First Aid	Health and Safety (First Aid) Regulations 1981	Requires employers to assess the need for first aid provision and put in adequate resources to respond to instances where employees or others working on the premises are injured. This should include trained first aiders and provision of suitable first aid equipment. COVID-19 Update If first aid cover for your business is reduced because of coronavirus or you can't get the first aid training you need, there are some things you can do so that you still comply with the law. You should review your first aid needs assessment and decide if you can still provide the cover needed for the workers that are present and the activities that they are doing using the guidance on the HSE page for First Aid.	HSE - First Aid	A	YES	All organisations	ISO45001	Check first aid arrangements have been established, first aid boxes stocked, first aid certificates in date, first aid room maintained				
Gas Safety	The Gas Safety (Installation and Use) (Amendment) Regulations 2018	Requires employers to carry out servicing of gas appliances (boilers) periodically to ensure they are maintained, efficient and safe. Maintenance should be carried out by GAS Safe qualified service engineers.	HSE - Gas	A	YES	All organisations with gas boilers	ISO45001	Check for service records and GAS Safe registration card or number for engineer.				
Gas Safety	Gas Safety (Management) Regulations 1996	These regulations apply to the conveyance of natural gas (methane) through pipes to domestic and other consumers and cover four main areas: 1.The safe management of gas flow through a network, particularly those parts supplying domestic consumers, and a duty to minimise the risk of a gas supply emergency. 2.Arrangements for dealing with supply emergencies. 3.Arrangements for dealing with reported gas escapes and gas incidents. 4.Gas composition.	http://www.hse.gov.uk/gas/hsg/priced/rgp.pdf	C	YES	All organisations with gas pipelines	ISO45001	Check gas networks are maintained, and gas quality is checked and records retained.				
Health	Health Act 2006	The Act makes a number of changes intended to protect the health of the public and to improve the running of the NHS. The Act covers smoke-free public places and workplaces; power to amend the minimum age of sale of tobacco; prevention and control of health care associated infections; management of controlled drugs in the NHS; provision of pharmacy and ophthalmic services; countering NHS fraud; and replacing the NHS Appointments Commission with a new body with a wider role. In addition the Act contains changes relating to administration of the Social Care Bursary scheme; the audit of special health authorities; injury cost recovery in the NHS; the transfer of the criminal liabilities of NHS bodies; and provisions to remove out-of-date references to Welsh health authorities.	HSE - Smoking at Work	A	YES	All organisations	ISO45002	Check arrangements for prevention of smoking in the workplace				
Health & Safety	Corporate Manslaughter and Corporate Homicide Act 2007	Places the responsibility on the owners and directors of the company to ensure that arrangements are in place and are implemented to ensure staff are protected from injury or death. Employers and/or Individual Directors could be found to be liable in a criminal or civil case if a serious injury or death to an employee working under their supervision or control.	HSE - Poster	A	YES	All organisations	ISO45001	Check any instances of prosecution or pending civil or criminal cases are not being bought against the company or individuals				
Health & Safety	The Health and Safety Information for Employees Regulations 1989	The legislation sets out requirements for employers to provide information on contacting H&S representatives and the local HSE	HSE - Corporate Manslaughter	A	YES	All organisations	ISO45001	Make sure that the HSE Poster has been completed correctly and displayed in a prominent area				
Health & Safety	Health and Safety (Information for Employees) (Amendment) Regulations 2009	Requires employers to make available to employees and others working for the company information relating to health and safety, to include, policy, arrangements, procedures relating to work equipment and practices, and controls relating to their own health and safety.	HSE - Workers	A	YES	All organisations	ISO45001	Check arrangements for making information available to staff, agency workers and temporary staff working for the company				

Health & Safety	Health and Safety at Work Act 1974	Section 2 – General duties of employers, Employers must, as far as is reasonably practicable, safeguard the health, safety and welfare of employees. This extends to the provision and maintaining of: • safe plant and safe systems of work; • safe handling, storage, maintenance and transport of (work) articles and substances; • necessary information, instruction, training and supervision; • safe place of work with safe access and egress; and • safe working environment with adequate welfare facilities. There is an absolute duty on employers with five or more employees to prepare and revise as necessary a written statement of safety policy	HSE - HSAWA	A	YES	All organisations	ISO45001	Check for evidence of health and safety management and policy	
Health & Safety	Health and Safety at Work Act 1974	Section 3 - Requires employers to ensure that people they do not employ are not affected by the undertaking.	HSE - HSAWA	A	Carlo	All organisations	ISO45001	Check arrangements for visitor, temporary workers and contractors	
Health & Safety	Health and Safety at Work Act 1974	Section 4 - Requires owners of premises (e.g. landlords) to ensure that means of access and egress are kept clear and well maintained, and that any plant and equipment that may be used on the premises is safe and well maintained. The duty also includes hazardous substances on the premises.	HSE - HSAWA	A	YES	All organisations	ISO45001	Check arrangements and responsibilities of landlord/building owners relating to H&S	
Health & Safety	Health and Safety at Work Act 1974	Section 5 - A person who has control over the premises must ensure that the premises or activities carried out do not cause pollution.	HSE - HSAWA	A	YES	All organisations	ISO45001	Check for any environmental prosecutions or complaints & ISO14001 certification	
Health & Safety	Health and Safety at Work Act 1974	Section 7 & 8 - Employees must take reasonable care of their own health and safety and that of others who may be affected by their acts or omissions. They must also cooperate with the employer so far as is necessary to enable the employer to comply with his duties under health and safety law.	HSE - HSAWA	A	YES	All organisations	ISO45001	Check arrangements for employees	
Health & Safety	Health and Safety at Work Act 1974	Section 9 prohibits the employer from charging his employees for any measures that he is required by law to provide in the interests of health and safety (e.g. protective equipment, safety training).	HSE - HSAWA	A	YES	All organisations	ISO45001	Check all PPE and H&S related items used by employees is provided by the company	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 3 - A duty is imposed on employers to assess the risk to the health and safety of employees and to anyone who may be affected by their work activity In practical terms, this means carrying out a complete assessment of all work activities and areas of the site where there is a risk of someone being injured. Where employees work off site (e.g. people working from home, people working outdoors or at another employer's site), then a risk assessment of their particular activities and locations should be carried out (e.g. people working from home, people working outdoors or at another employer's site).	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check that risk assessments are available for all routine and non routine tasks and activities	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 4 - The employer is required to follow the principles specified in the schedule to the Regulations, which specifies the following hierarchy of control measures: • Avoid the risk • Evaluate the risk and then: • Combat at source • Adapt work to suit the individual • Keep up with technical progress • Replace the dangerous with less dangerous • Develop a coherent policy for health and safety that covers all aspects of the undertaking • Adopt collective measures rather than individual protection • Provide appropriate instructions to employees	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check controls have been implemented following risk assessments to minimise the exposure of staff to hazards	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 5 - Employers must make arrangements for putting into practice the preventative and protective measures to protect people from hazards. This includes effectively managing health and safety, and in particular includes planning, organisation, control, monitoring and review. Employers with five or more employees are required to put their arrangements in writing.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check for evidence of health and safety management and policy maintained and displayed	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 6 - Where appropriate, employers must carry out health surveillance of employees to ensure that any hazards that employees are exposed to at work are not seriously affecting their health.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check arrangements for health surveillance for employees	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 7 - Employers must appoint competent people to help advise on health and safety issues.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check competency of staff and outsourced expertise on health and safety matters	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 8 & 9 - Employers must establish suitable and effective emergency procedures. This specifically covers fire, first aid and rescue situations, but employers should also establish emergency plans to deal with any other major site emergencies – such as chemical spills / leaks, gas leaks, entrapment in confined spaces, and so on. Emergency procedures and plans must be written down, regularly practised and arrangements made to inform and train staff and anyone else who might need to know about the procedures (e.g. visitors, contractors, neighbouring businesses).	HSE - Management of H&S at Work Guidance	B	YES	All organisations	ISO45001	Check emergency procedures and business continuity plan is maintained and tested periodically	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 10 - Employers must be provided with information about health and safety matters, particularly those that affect them. This is also specifically covered in many other Regulations, as well as being a general requirement under Section 2 of the Health and Safety at Work etc. Act 1974. So Regulation 10 covers more general matters. The type of information that needs to be passed on to employees includes: • Emergency procedures; • General information about workplace hazards and risks – induction training; and • Details of fire wardens.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check arrangements for communication with employees on H&S matters, including training and toolbox talks	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 12 - Where workers from another organisation may visit your workplace (either to work or visit), then appropriate arrangements must be made to provide relevant safety information. This gives rise to a requirement to control visitors, post visitors safety information and signage, and generally ensure any access into specific danger areas is controlled.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check information relating to H&S is available and up to date	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 13 - Employers must make sure that employees have adequate health and safety training and are capable enough at their jobs to avoid risk. Again this requirement is repeated in many other health and safety regulations and helps to support an employer's general requirement to train their staff (Section 2 HSAW). This requirement covers both induction training and ongoing training. Training needs to be repeated 'periodically' and refreshed after any significant changes to the work or workplace occur.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check training records, and evidence of competency is maintained.	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 14 - Whilst Section 7 of HSAW also covers 'employees duties', this Regulation goes further in that it: • places duties on employees to follow health and safety instructions and report danger; and • extends the current law, which requires employers and managers to consult employees' safety representatives and provide facilities for them.	HSE - Management of H&S at Work Guidance	B	YES	All organisations	ISO45001	Check arrangements for consultation with employees, and methods for reporting dangers and near misses	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 15 - Employers must give particular health and safety information to temporary workers. This in effect extends most of the general health and safety requirements covered above to any workers on fixed term contracts and to those supplied via an employment agency.	HSE - Management of H&S at Work Guidance	B	YES	All organisations	ISO45001	Check arrangements for making information available to agency workers and temporary staff working for the company	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulations 16, 17 & 18 - Employers are required to carry out an assessment of the specific risks posed to the health and safety of pregnant women and new mothers in the workplace and then to take steps to ensure that those risks are avoided. Risks include those to the unborn child or child or a woman who is still breastfeeding – not just risks to the mother.	HSE - Management of H&S at Work Guidance	A	YES	All organisations	ISO45001	Check if any expectant or nursing mothers are employed, risk assessments are carried out through pregnancy, and arrangements for their welfare are in place during the pregnancy and for breastfeeding where relevant	
Health & Safety	Management of Health and Safety at Work Regulations 1999	Regulation 19 - Employers have a general duty to ensure that young persons employed by them are protected at work from any risks to their health or safety that are a consequence of their lack of experience, or absence of awareness of existing or potential risks, or the fact that young persons are not yet fully mature. The Regulations specifically require employers to carry out a risk assessment before a young person begins work.	HSE - Management of H&S at Work Guidance	B	YES	All organisations	ISO45001	Check young persons risk assessments are in place for individuals and that arrangement for their supervision are in place.	
Lifting Equipment	The Lifting Plant and Equipment (Records of Test and Examination etc.) Regulations 1992	Certain lifting equipment requires regular inspections/tests to ensure safety this includes hoists etc Relevant to a number of lifting equipment these require inspections and tests to certify they are able to work to the safe working loads.	HSE - LOLER	A	YES	All organisations	ISO45001	Check lifting equipment is identified with safe working loads, (SWL) and is inspected at least annually.	
Lifting Equipment	Lifting Operations and Lifting Equipment Regulations 1998	Requires employers to inspect lifting equipment periodically to ensure it remains suitable and safe to use. Lifting equipment may include cranes, hoists, slings and chains	HSE - LOLER	A	YES	All organisations	ISO45001	Check lifting equipment is identified with safe working loads, (SWL) and is inspected at least annually.	
Manual Handling	Manual Handling Operations Regulations 1992	Requires an assessment of manual handling activities to be undertaken to ensure that employees are aware of the risks around manual handling and that adequate training is given in safe manual handling methods	HSE - Manual Handling	A	YES	All organisations	ISO45001	Check manual handling risk assessments are in place and are maintained and that manual handling training is given to employees.	
Noise	The Control of Noise at Work Regulations 2005	Requires an assessment of noise in work areas to be undertaken to establish the strategy in order to reduce the impact of noise on employees. The lower limit of 80db is the action point where controls should be implemented, the upper limit is 85db where actions must be taken to prevent permanent damage to hearing. Employees should not be exposed to noise in areas exceeding 87db	HSE - Noise	C	YES	All organisations	ISO45001	Check noise monitoring results and arrangements for employees for hearing protection are in place and are maintained, and that employees have access to PPE where required	
Noise	The Environmental Noise (England) (Amendment) Regulations 2018	The Environmental Noise (England) Regulations are intended to inform the production of noise action plans for large urban areas, major transport sources, and significant industrial sites in England.	DEFRA - Noise	C	YES	Large urban areas, major transport sources, and significant industrial sites	ISO14001	Check for results of noise testing, and for any complaints against the company	

Nuisance	Clean Neighbourhoods and Environment Act 2005	Introduces additional noise, litter and waste controls including site waste management plans, and classifies artificial lighting and insects as statutory nuisances.	N/A	D	YES	All organisations	ISO14001	Check for any litter and waste created by the company that could affect the estate or surroundings on site		
PPE	Personal Protective Equipment Regulations 1992	If risks to staff through other controls cannot be reduced then PPE should be considered as a last resort and provided free of charge to staff. Areas where PPE is worn should be signposted and enforced.	HSE - PPE	A	YES	All organisations	ISO45001	Check requirements for PPE have been established and are being enforced, and that employees have free access to PPE when required		
Signage	Health and Safety (Safety Signs and Signals) Regulations 1996	Requires the appropriate health and safety signage to be displayed throughout the company, e.g. fire signage, PPE, H&SAWA1974 poster. (New H&SAWA poster needs to be displayed from 6th April 2014)	HSE - Safety Signs & Signals	A	YES	All organisations	ISO45001	Ensure suitable signage is in place and is being maintained - e.g. illuminated fire exit signage		
Transport	The Road Vehicles (Construction and Use) (Amendment) Regulations 2020	the Road Vehicles (Construction and Use) Regulations 1986 The Road Vehicles (Construction and Use) (Amendment) Regulations 2018 These Regulations require that all vehicles must be maintained in a condition that does not cause emissions of smoke, visible vapour, oil etc that is likely to cause damage to property or injury or danger to any person. It is an offence to use a vehicle in such a condition. The Regulations also require vehicle users to keep their vehicles in tune, that drivers switch off their vehicle's engine when stationary to prevent emissions and noise and that no excessive smoke is emitted after 5 seconds at idling. Update 2020: It makes provision about the age of tyres (including retreaded tyres), and the legibility of date markings on tyres, which are permitted for use on buses (including minibuses) and goods vehicles with a maximum gross weight exceeding 3,500kg. It also amends Table 2 of Schedule 2 to the 1986 Regulations to include the provisions about retreaded tyres in ECE Regulations 108 and 109.		A	YES			Annual servicing and MOTs for all fleet vehicles.		
Transport	Goods Vehicles (Licensing of Operators) Act 1995	The Act covers the system of goods vehicle operator licensing in England, Wales and Scotland. Under the Act, a standard national or international operator's licence is required to carry other people's goods for hire or reward. Restricted operator's licences allow the carriage of goods in connection with the operator's trade or business. The main purpose of goods vehicle operator licensing is to ensure the safe and proper use of goods vehicles and to protect the environment around operating centres.		A	YES					
Transport	The Goods Vehicles (Licensing of Operators) (Amendment) Regulations 2017	The Goods Vehicles (Licensing of Operators) Regulations 1995		A	YES			DVSA - O Licence		
Vibration	The Control of Vibration at Work Regulations 2005	Requires organisations to assess the risk to workers of hand/arm and whole body vibration when undertaking work activities and introduce the necessary controls to reduce the risk of temporary or permanent damage from exposure to vibration. Where necessary health monitoring should be undertaken	HSE - Vibration	A	YES	All organisations	ISO45001	Check for HAVS risk assessments in place for activities and arrangements in place to select appropriate work equipment, limiting daily exposure times and provision of awareness training for workers.		
Visitors	Occupiers Liability Acts 1957 & 1984	Requires employers to ensure that visitors to site are protected from risks and hazards, and as far as possible have taken measures to ensure that trespassers cannot endanger themselves.	Occupiers Liability Overview	A	YES	All organisations	ISO45001	Check arrangements for visitors and that trespassers cannot gain easy access to site.		
Waste Management	Environmental Protection Act 1990 Section 34 – The Duty of Care	Section 34 introduces a statutory Duty of Care for all those producing or dealing with Waste. All waste producers must follow the Duty of Care. Under which they must take all reasonable steps to ensure that:-	GOV.UK - Duty of Care	A	YES	All organisations	ISO14001	Duty of care certificates, waste transfer notes		
Waste Management	Environmental Protection Act 1990 Section 34 – The Duty of Care	- Waste consigned to a disposal contractor is accompanied by a detailed, written description containing necessary information for the safe handling, treatment and disposal of the waste	GOV.UK - Duty of Care	A	YES	All organisations	ISO14001	Check for waste transfer notes		
Waste Management	Environmental Protection Act 1990 Section 34 – The Duty of Care	- Waste is consigned only to authorised persons, i.e. Registered Waste Carriers	GOV.UK - Duty of Care	A	YES	All organisations	ISO14001	Check registered waste carriers licence		
Waste Management	Environmental Protection Act 1990 Section 34 – The Duty of Care	- Waste is securely contained to prevent it escaping to the environment both during storage and transit	GOV.UK - Duty of Care	A	YES	All organisations	ISO14001	Check bins/skips are effectively managed and not overflowing		
Waste Management	The Hazardous Waste (England and Wales) (Amendment) Regulations 2016	Defines Hazardous Waste – to include waste considered to display particular hazardous properties and introduced a consignment note for the transfer of such waste. Producers, carriers and disposers are required to keep a register of consignment notes 2016 changes no longer require organisations to register as hazardous waste producers, code is replaced with first 6 digits of the company name in HWCN's.	GOV.UK - Disposing of Hazardous Wastes	A	YES	All organisations	ISO14001	Check contracts are in place with registered carriers, 2007 SIC identified on HWCN's, EWC identified, and correct code (first 6 digits of company name) applied in place of hazardous waste registration code.		
Waste Management	The Hazardous Waste (Miscellaneous Amendments) Regulations 2015	General update to UK legislation in light of amendments to two EU Instruments, establishing the classification for waste and hazardous waste and the replacement of an EU Instrument concerning waste electrical equipment. Revises the List of Waste regulations	GOV.UK - Disposing of Hazardous Wastes	A	YES	All organisations	ISO14001	Check correct waste code is applied to waste transfer notes and hazardous waste consignment notes		
Waste Management	The Packaging (Essential Requirements) Regulations 2015	Sets out essential requirements for packaging which apply to packaging producers, sellers and distributors, including enforcement, offences and penalties.	https://www.gov.uk/government/publications/packaging-essential-requirements-regulations-guidance-notes	A	YES	All organisations	ISO14001	Check for evidence that company is monitoring packaging waste streams, and that they have not exceeded limits (50 tonnes, £2m turnover)		
Waste Management	The Producer Responsibility Obligations (Packaging Waste) (Amendment) Regulations 2017	Requires producers to recover and recycle packaging waste to achieve EU targets. The company must comply if it handles more than 50 tonnes in a year and has a turnover of more than £2m	https://www.gov.uk/guidance/producer-responsibilities	A	YES	All organisations	ISO14001	Check for evidence that company is monitoring packaging waste streams, and that they have not exceeded limits (50 tonnes, £2m turnover)		
Waste Management	The Waste (England and Wales) (Amendment) Regulations 2014	Requires businesses to apply the waste management hierarchy, introduces a two-tier system for waste carrier and broker registration, and excludes some categories of waste from waste controls. Amends the Hazardous Waste Regulations 2005. Note - Introduction of edoc system to relax requirements for waste transfer notes for "small" organisations (under 20 employees)	GOV.UK - Managing Your Waste Overview	A	YES	All organisations who carry or handle waste, including local authorities and charities	ISO14001	Duty of care certificates, waste transfer notes, check contracts are in place with registered carriers		
Waste Management	The Waste (Miscellaneous Amendments) (EU Exit) (No. 2) Regulations 2019	These Regulations make amendments to subordinate legislation in the field of waste, and, in particular, amend legislation in relation to end-of-life vehicles, hazardous waste, controlled waste, waste batteries and accumulators, the management of waste from extractive industries, waste electrical and electronic equipment, and packaging waste. Part 2 of these Regulations updates out of date references. Part 3 of these Regulations is made in order to address failures to operate effectively and other deficiencies arising from the withdrawal of the United Kingdom from the European Union.	N/A	D	NA	All organisations	ISO14001	No specific requirements - guidance only		
Water & Spillages	The Environmental Damage (Prevention and Remediation) (England) (Amendment) Regulations 2019	The Environmental Damage Regulations implement the European Directive on Environmental Liability. They are based on the 'polluter pays principle' so those responsible prevent and remedy environmental damage, rather than the taxpayer paying. 'Environmental damage' has a specific meaning in the Regulations, covering only the most serious cases. Existing legislation with provisions for environmental liability remains in place. The emphasis, in the first instance, is on the business or other 'operator' identifying when there is an imminent threat or actual damage and taking immediate action. Enforcing authorities (hereafter: authorities) must determine whether there is environmental damage and decide on the necessary remedial measures. Environmental liability is only a 'backstop'. The emphasis should be on proactively putting in place appropriate pollution prevention measures so that imminent threats and damage do not arise.	GOV.UK - Environmental Damage & Remediation Guidance	A	YES	All organisations	ISO14001	Check emergency procedures are up to date and in place should an incident occur.		
Welfare	Workplace (Health, Safety and Welfare) Regulations 1992	Requires employers to provide adequate welfare facilities for employees, including washing, toilet, rest and changing facilities, and somewhere clean to eat and drink during breaks	HSE - Welfare	A	YES	All organisations	ISO45001	Check for evidence that the corporation has provided suitable welfare facilities, including washing, toilet, rest and changing facilities, and somewhere clean to eat and drink during breaks		
Work Equipment	The Provision and Use of Work Equipment Regulations 1998	Requires where applicable the inspection and certification of work equipment such as fork lift trucks and machinery to ensure that it remains safe to use. Where applicable, guarding and other control measures to prevent injury to staff should be inspected and tested periodically.	HSE - Work Equipment / PUWER	A	YES	All organisations	ISO45001	Check work equipment is periodically inspected and maintained in line with manufacturers and statutory requirements		
Working at Height	The Work at Height (Amendment) Regulations 2007	Requires employers to assess the risk to staff when they are working at height and put appropriate controls in place to minimise the risk of falls from height.	HSE - Falls	A	YES	All organisations	ISO45001	Check risk assessments are in place for persons working at height and that ladders, platforms, step ladders are inspected to ensure they are safe to use.		

